

## (12) United States Patent

Newman et al.

## (54) GUARD-SPACE PHASE-TRACKING REFERENCE SIGNAL FOR 5G AND 6G **NETWORKING**

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- (52) U.S. Cl. CPC ....... H04L 27/2607 (2013.01); H04L 27/08 (2013.01); H04L 27/362 (2013.01); H04L 5/0007 (2013.01)

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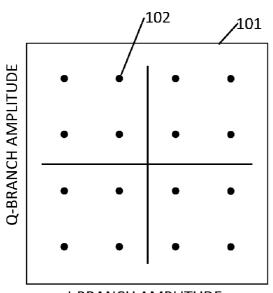
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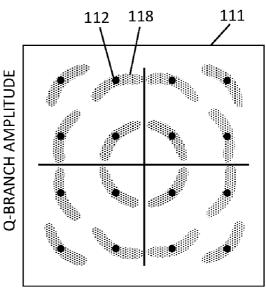
### (57)ABSTRACT

The guard-space reference disclosed herein is a signal transmitted in the guard spaces separating message data intervals, and configured to reveal amplitude noise or phase noise or both, using 5G or 6G technology. For example, the transmitter can transmit an I-branch with a predetermined amplitude level, and an orthogonal Q branch with zero amplitude, in the guard space. The receiver can measure the received amplitude and phase of the guard-space reference, subtract the initial amplitude and phase, and thereby measure both phase noise and amplitude noise. The receiver can then subtract the measured amplitude and phase effects from the message data, thereby negating both phase noise and amplitude noise. Guard-space references disclosed herein can preserve the inter-subcarrier orthogonality, inter-symbol separation, and signal circularity advantages of prior art, while additionally providing both amplitude noise and phase noise mitigation. Examples are suitable for wireless standards.

### 20 Claims, 4 Drawing Sheets



I-BRANCH AMPLITUDE



I-BRANCH AMPLITUDE

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FIG. 1A

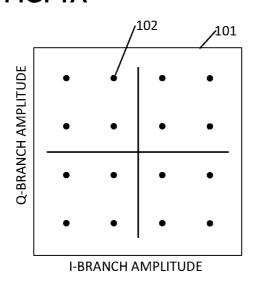


FIG. 1B

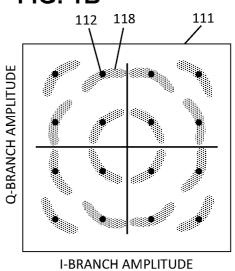


FIG. 2A

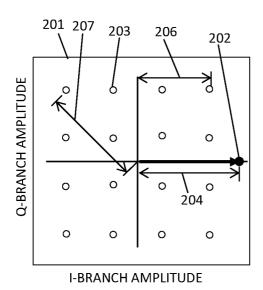


FIG. 2B

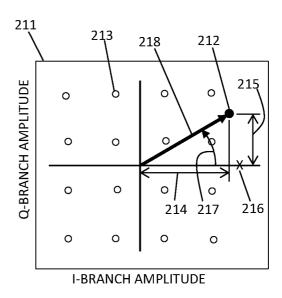


FIG. 3

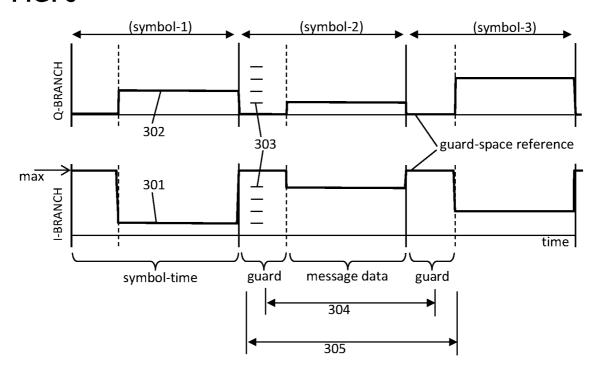
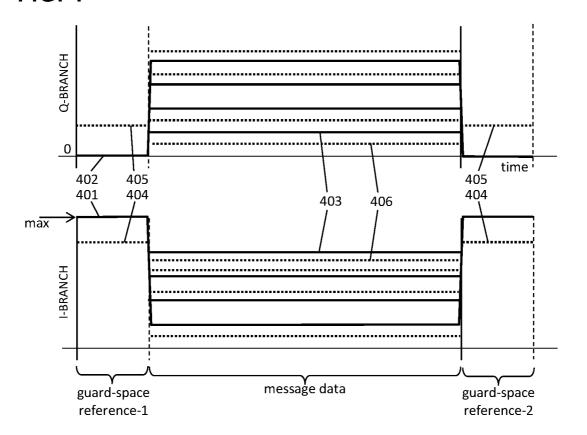


FIG. 4



# FIG. 5

501 - Transmitter transmits message with guard spaces between message elements, each guard space having I=0 and Q=maximum sum-signal amplitude.

502 - Receive message. Measure reference I and Q branch amplitudes of guard-space reference and message I and Q branch amplitudes of message data.

503 - Process guard-space reference: Calculate phase rotation angle =  $\arctan(I/Q)$  minus initial phase (here 90 degrees). Calculate amplitude adjustment =  $V(I^2+Q^2)$  minus initial amplitude (here max sum-signal amplitude).

504 - Process message data: Calculate message sum-signal phase =  $\arctan(I/Q)$ . Calculate message sum-signal amplitude =  $\sqrt{I^2+Q^2}$ .

505 - Mitigate: Calculate corrected message phase = message sum-signal phase minus phase rotation angle. Calculate adjusted message amplitude = message sum-signal amplitude minus the amplitude adjustment.

506 - Demodulate: Compare the corrected message sum-signal amplitude and phase to set of predetermined sumsignal amplitude and phase levels. Select closest match.

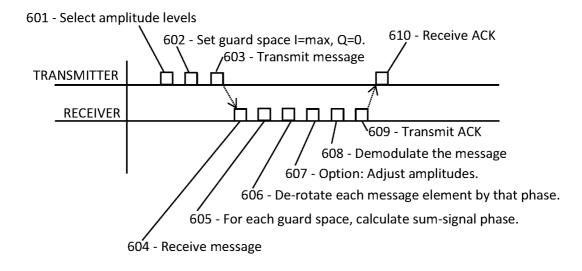
507 - Demodulate: Calculate mitigated I and Q branch amplitudes from corrected sum-signal phase and adjusted sum-signal amplitude, then compare to set of predetermined branch amplitude levels.

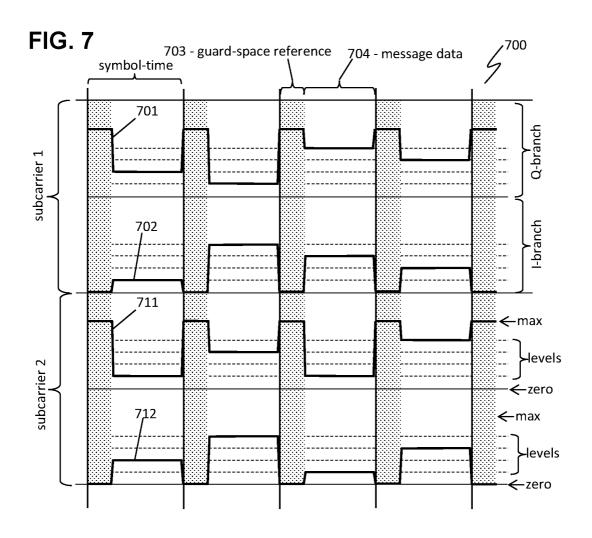
Select closest match.

508 - Option: average the phase rotation angles and amplitude adjustments for guardspace references before and after each message element.

509 - Option: average the phase rotation angles and amplitude adjustments for multiple guard-space references in the same OFDM symbol.

FIG. 6





### GUARD-SPACE PHASE-TRACKING REFERENCE SIGNAL FOR 5G AND 6G NETWORKING

# PRIORITY CLAIMS AND RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Patent Application Ser. No. 63/412,654, entitled "Guard-Space Phase-Tracking Reference Signal for 5G and 6G <sup>10</sup> Networking", filed Oct. 3, 2022, and U.S. Provisional Patent Application Ser. No. 63/403,924, entitled "Phase-Noise Mitigation at High Frequencies in 5G and 6G", filed Sep. 6, 2022, and U.S. Provisional Patent Application Ser. No. 63/409,888, entitled "Single-Branch Reference for High-Frequency Phase Tracking in 5G and 6G", filed Sep. 26, 2022, all of which are hereby incorporated by reference in their entireties.

### FIELD OF THE INVENTION

The disclosure pertains to phase-noise mitigation in wireless messaging, and particularly to phase-noise mitigation at high frequencies.

### BACKGROUND OF THE INVENTION

Wireless communication at very high frequencies, such as tens to hundreds of GHz, is needed for the massively increased demand in bandwidth and throughput expected in <sup>30</sup> 5G and 6G. However, phase noise is an increasing problem at higher frequencies, preventing full usage of the bandwidth for messaging. What is needed is means for mitigating the phase noise so that the promise of high-speed messaging at high frequencies can be at least partially realized. <sup>35</sup>

This Background is provided to introduce a brief context for the Summary and Detailed Description that follow. This Background is not intended to be an aid in determining the scope of the claimed subject matter nor be viewed as limiting the claimed subject matter to implementations that 40 solve any or all of the disadvantages or problems presented above.

### SUMMARY OF THE INVENTION

In a first aspect, there is a method for a wireless receiver to mitigate noise, the method comprising: receiving a message comprising resource elements of a resource grid, each resource element comprising a guard space concatenated with message data, the message data modulated according to 50 bits of the message, and the guard space comprising a guard-space reference configured to reveal amplitude noise or phase noise or both; measuring a reference modulation value of the guard-space reference in a particular resource element of the message, and comparing the reference modu- 55 lation value to an initial modulation value, thereby determining a correction value; measuring a message modulation value of a message data in the particular resource element, and subtracting the correction value from the message modulation value, thereby determining a corrected modula- 60 tion value; and demodulating the message data of the particular resource element by comparing the corrected modulation value to a predetermined set of modulation levels of a modulation scheme.

In another aspect, there is a wireless transmitter config-65 ured to: transmit a wireless message comprising message elements and guard spaces, each message element associ-

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ated with one guard space, respectively; wherein each guard space occupies a first portion of a symbol-time, and the associated message element occupies a second portion of the symbol-time; wherein each message element comprises a message I-branch and an orthogonal message Q-branch, the message I branch being amplitude modulated according to a first predetermined amplitude level of a modulation scheme. and the message Q branch being amplitude modulated according to a second predetermined amplitude level of the modulation scheme; and wherein each guard space comprises a guard-space reference comprising a reference I-branch signal multiplexed with an orthogonal reference Q-branch signal, wherein one branch, of the reference I-branch and the reference Q-branch, is transmitted according to a maximum amplitude level of the modulation scheme, and the other branch is transmitted with zero amplitude.

In another aspect, there is non-transitory computer-read-20 able media in a wireless receiver, the media containing instructions that when implemented in a computing environment cause a method to be performed, the method comprising: receiving a message comprising message elements, each message element concatenated with an associ-<sup>25</sup> ated guard-space reference; for each guard-space reference, determining a reference first signal comprising a reference first amplitude, multiplexed with an orthogonal reference second signal comprising a reference second amplitude; for each guard-space reference, determining a phase rotation angle comprising an arctangent of a ratio of the reference second amplitude divided by the reference first amplitude, minus a predetermined initial phase; and correcting each message element according to the phase rotation angle of the associated guard-space reference.

This Summary is provided to introduce a selection of concepts in a simplified form. The concepts are further described in the Detailed Description section. Elements or steps other than those described in this Summary are possible, and no element or step is necessarily required. This Summary is not intended to identify key features or essential features of the claimed subject matter, nor is it intended for use as an aid in determining the scope of the claimed subject matter. The claimed subject matter is not limited to implementations that solve any or all disadvantages noted in any part of this disclosure.

These and other embodiments are described in further detail with reference to the figures and accompanying detailed description as provided below.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A is a schematic showing an exemplary embodiment of a 16QAM constellation chart, according to prior art.

FIG. 1B is a schematic showing an exemplary embodiment of the effect of phase noise on a 16QAM constellation chart, according to prior art.

FIG. **2**A is a schematic showing an exemplary embodiment of a guard-space phase-tracking reference signal as transmitted, according to some embodiments.

FIG. **2**B is a schematic showing an exemplary embodiment of a guard-space phase-tracking reference signal as received, according to some embodiments.

FIG. 3 is a schematic showing an exemplary embodiment of a message including guard-space phase-tracking reference signals, according to some embodiments.

FIG. 4 is a schematic showing an expanded view of an exemplary embodiment of a message including guard-space phase-tracking reference signals, according to some embodiments

FIG. 5 is a flowchart showing an exemplary embodiment of a procedure for mitigating phase noise in a message, according to some embodiments.

FIG. 6 is a sequence chart showing an exemplary embodiment of a procedure for transmitting and receiving a message with phase-noise mitigation, according to some embodiments.

FIG. 7 is a schematic showing an exemplary embodiment of a resource grid comprising guard-space references, according to some embodiments.

Like reference numerals refer to like elements throughout.

### DETAILED DESCRIPTION

Systems and methods disclosed herein (the "systems" and 20 "methods", also occasionally termed "embodiments" or "arrangements" or "versions" or "examples", generally according to present principles) can provide urgently needed wireless communication protocols for mitigating the effects of phase noise at high frequencies planned for late 5G and 25 6G communications. Disclosed herein is a "guard-space phase-tracking reference signal", or "guard-space reference" for short, which may be transmitted in the guard space of each resource element of a message. In each resource element, the guard-space reference may occupy a first por- 30 tion of the symbol-time, and the message data may occupy a second portion of the symbol-time. The guard-space reference may be configured to reveal current amplitude noise and/or phase noise, enabling the receiver to subtract those noise values from the associated message data, thereby 35 providing enhanced reliability and enabling high-frequency communication.

Wireless messages are generally transmitted in frequencyspanning OFDM symbols. Each message element is transmitted as a message data portion of one subcarrier and one 40 symbol-time, that is, a portion of one resource element. In addition, a "guard space" is generally provided in each resource element, before the message data and concatenated with the message data. In prior art, the guard space has usually been transmitted as a cyclic prefix, which is a copy 45 of a portion of the message data. The cyclic prefix may be configured to preserve orthogonality between subcarriers, prevent interference between symbols, accommodate a range of arrival times, and provide signal circularity to simplify certain signal-processing operations. However, as a 50 copy of the message data, the cyclic prefix simply repeats the same noise effects as the rest of the message data, and thus provides no assistance is mitigating noise. In contrast, the guard-space references disclosed herein differ from the prior art in that the disclosed guard-space references may be 55 configured to reveal and measure phase noise and/or amplitude noise. The disclosed guard-space references can thereby enable the receiver to mitigate noise effects in the associated message data, and thereby avoid message faults even at high frequencies, according to some embodiments. 60 In addition, examples show how the guard-space references can preserve subcarrier orthogonality, prevent inter-symbol interference, accommodate a wide range of signal arrival times, and provide the mentioned circularity and signalprocessing advantages, all while also providing much- 65 needed noise mitigation at high frequencies, according to some embodiments.

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Some embodiments of the guard-space reference and the message data are transmitted and received according to an amplitude-modulated I-branch signal, multiplexed with an orthogonal amplitude-modulated Q-branch signal. Thus the guard-space reference may have an I-branch amplitude transmitted with an initial amplitude, such as the predetermined maximum amplitude of the modulation scheme, while the Q-branch amplitude may be transmitted with another amplitude, such as zero amplitude, and the message I and Q branches may be amplitude-modulated according to the various predetermined amplitude levels of the modulation scheme. Then the receiver can receive the guard-space reference, measure the reference I and Q amplitudes, determine the amplitude noise and phase noise effects, and subtract the noise effects from the message data, as detailed below

In a first embodiment, the receiver can receive the guardspace reference and the message data in two orthogonal I and Q branches, and correct the message I and Q branch amplitudes to negate the noise effects. In a second embodiment, the receiver can receive the message data and the guard-space reference according to their sum-signal amplitude and phase instead of the branch amplitudes, and then correct the message sum-signal amplitude and phase, and demodulate with amplitude and phase mitigation, without the use of separate branch signals. In a third alternative, the receiver can receive the message data and the guard-space reference as orthogonal I and Q branches, and can then calculate a sum-signal amplitude and phase from them, and demodulate according to the corrected sum-signal amplitude and phase. Although each of these embodiments, and other examples below, may be used to mitigate noise, some versions provide greater noise tolerance overall and other advantages, as discussed below. The receiver is expected to know how the initial amplitude and phase of the guard-space reference is formatted. The receiver can calculate the phase rotation angle and the amplitude correction value from the guard-space reference in each case, and apply the mitigation to the associated message data.

Phase noise generally rotates the sum-signal phase, which also intermingles the I and Q signals, while leaving the overall sum-signal amplitude unchanged. Amplitude noise generally alters the sum-signal amplitude and the two branch amplitudes, without altering the sum-signal phase. The receiver can then determine a phase rotation angle from a ratio of the received I and Q amplitudes of the guard-space reference (minus an initial phase, if any), and an amplitude correction value according to the received sum-signal amplitude of the guard-space reference. Alternatively, the receiver can measure the sum-signal amplitude and sum-signal phase directly from the received raw sum-signal waveform, determine the amplitude correction from the sum-signal amplitude, and determine the phase correction from the sumsignal phase. In either case, the receiver can then negate both phase and amplitude noise by subtracting the amplitude and phase corrections from the message element associated with the guard-space reference, according to some embodiments.

No distinction is made herein between random thermal noise and signal interference, all of which are included in "noise". Pathological electronic effects such as transmitter saturation and receiver nonlinearities, are treated as noise or are ignored. Only additive amplitude noise and additive phase noise are considered herein, since this encompasses the vast majority of noise-based message faults. The receiver can quantitatively determine the amplitude and phase noise

from the guard-space reference modulation, then subtract those noise values from the associated message data, to mitigate the noise.

The examples presented below are suitable for adoption by a wireless standards organization. Standardizing the 5 guard-space reference may thereby provide the benefits of automatic and transparent phase-noise mitigation, at negligible cost in power and resources, benefitting future wireless users worldwide, in 5G and 6G and beyond.

Terms herein generally follow 3GPP (third generation 10 partnership project) standards, but with clarification where needed to resolve ambiguities. As used herein, "5G" represents fifth-generation, and "6G" sixth-generation, wireless technology in which a network (or cell or LAN Local Area Network or RAN Radio Access Network or the like) may 15 include a base station (or gNB or generation-node-B or eNB or evolution-node-B or AP Access Point) in signal communication with a plurality of user devices (or UE or User Equipment or user nodes or terminals or wireless transmitreceive units) and operationally connected to a core network 20 (CN) which handles non-radio tasks, such as administration, and is usually connected to a larger network such as the Internet. The time-frequency space is generally configured as a "resource grid" including a number of "resource elements", each resource element being a specific unit of time 25 termed a "symbol period" or "symbol-time", and a specific frequency and bandwidth termed a "subcarrier" (or "subchannel" in some references). Symbol periods may be termed "OFDM symbols" (Orthogonal Frequency-Division Multiplexing) in references. The time domain may be 30 divided into ten-millisecond frames, one-millisecond subframes, and some number of slots, each slot including 14 symbol periods. The number of slots per subframe ranges from 1 to 8 depending on the "numerology" selected. The frequency axis is divided into "resource blocks" (also 35 termed "resource element groups" or "REG" or "channels" in references) including 12 subcarriers, each subcarrier at a slightly different frequency. The "numerology" of a resource grid corresponds to the subcarrier spacing in the frequency domain. Subcarrier spacings of 15, 30, 60, 120, and 240 kHz 40 are defined in various numerologies. Each subcarrier can be independently modulated to convey message information. Thus a resource element, spanning a single symbol period in time and a single subcarrier in frequency, is the smallest unit of a message. "Classical" amplitude-phase modulation 45 refers to message elements modulated in both amplitude and phase, whereas "quadrature" or "PAM" (pulse-amplitude) modulation refers to two signals, separately amplitudemodulated, and then multiplexed and transmitted with a 90-degree phase shift between them. The two signals may be 50called the "I" and "Q" branch signals (for In-phase and Quadrature-phase) or "real and imaginary" among others. Standard modulation schemes in 5G and 6G include BPSK (binary phase-shift keying), QPSK (quad phase-shift keying), 16QAM (quadrature amplitude modulation with 16 55 modulation states), 64QAM, 256QAM and higher orders. Most of the examples below relate to QPSK or 16QAM, with straightforward extension to the other levels of modulation. QPSK is phase modulated but not amplitude modulated. 16QAM may be modulated according to PAM which 60 exhibits two phase levels at zero and 90 degrees (or in practice, for carrier suppression, ±45 degrees) and four amplitude levels including two positive and two negative amplitude levels, thus forming 16 distinct modulation states. For comparison, classical amplitude-phase modulation in 65 16QAM includes four positive amplitude levels and four phases of the raw signal, which are multiplexed to produce

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the 16 states of the modulation scheme. "SNR" (signal-to-noise ratio) and "SINK" (signal-to-interference-and-noise ratio) are used interchangeably unless specifically indicated. "RRC" (radio resource control) is a control-type message from a base station to a user device. "Digitization" refers to repeatedly measuring a waveform using, for example, a fast ADC (analog-to-digital converter) or the like. An "RF mixer" is a device for multiplying an incoming signal with a local oscillator signal, thereby selecting one component of the incoming signal.

In addition to the 3GPP terms, the following terms are defined herein. Although in references a modulated resource element of a message may be referred to as a "symbol", this may be confused with the same term for a time interval ("symbol-time"), among other things. Therefore, each modulated resource element of a message is referred to as a "modulated message resource element", or more simply as a "message element", in examples below. A "demodulation reference" is a set of Nref modulated "reference resource elements" or "reference elements" modulated according to the modulation scheme of the message and configured to exhibit levels of the modulation scheme (as opposed to conveying data). Thus integer Nref is the number of reference resource elements in the demodulation reference. As mentioned, a "guard-space reference" is a phase-tracking and/or demodulation reference transmitted in the guard space of a symbol-time. A "calibration set" is one or more amplitude levels and/or phase levels, which have been determined according to a demodulation reference, representing the predetermined modulation levels of a modulation scheme. A "short-form" demodulation reference is a demodulation reference that exhibits the maximum and minimum amplitude levels of the modulation scheme, from which the receiver can determine any intermediate levels by interpolation. "RF" or radio-frequency refers to electromagnetic waves in the MHz (megahertz) or GHz (gigahertz) frequency ranges. "Phase noise" is random noise or time jitter that alters the phase of a received signal, usually without significantly affecting the overall amplitude. "Phase-noise tolerance" is a measure of how much phase alteration can be imposed on an allowed modulation state without causing a demodulation fault. "Amplitude noise" includes additive noise or interference that primarily affects amplitudes of received signals. Interference due to competing signals is treated as noise herein, unless otherwise specified. A "faulted" message has at least one incorrectly demodulated message element, that is, the bit-level demodulation of the message element is not equal to the initial bit-level content of the message element before transmission. A "phase fault" is a message element demodulated as a state differing in phase from the intended modulation state, whereas an "amplitude fault" is a message element demodulated as a state differing in amplitude from the intended modulation state.

Referring to quadrature or PAM modulation, an "I-Q" space is an abstract two-dimensional space defined by an I-branch amplitude and an orthogonal Q-branch amplitude, in which each transmitted message element occupies one of several predetermined I-Q states of a modulation scheme. When the orthogonal branches are called "real" and "imaginary", the I-Q space is sometimes called the "complex plane". The "sum-signal" is the vector sum of the received I and Q branch signals, which generally equals the raw, as-received "overall" signal, aside from signal processing artifacts which are ignored. A "vector sum" is a sum of two vectors, which in this case represent the amplitudes and phases of the two orthogonal branches in I-Q space. The

as-received sum-signal has a sum-signal amplitude and a sum-signal phase that can be measured. The sum-signal amplitude, equal to the square root of the sum of the I and Q branch amplitudes squared (the "root-sum-square" of I and Q). The sum-signal phase is equal to the arctangent of 5 a ratio of the I and Q signal amplitudes (plus an initial phase, when present). When the message element is received at a receiver, the I and Q amplitudes may be substantially different from the transmitted values due to phase noise, which generally intermingles the two branches, and amplitude noise, which generally alters both branch amplitudes. Phase noise tends to change the ratio of I and Q amplitudes, whereas amplitude noise tends to change the overall sum-signal amplitude.

Turning now to the figures, a prior-art modulation scheme 15 is susceptible to phase noise at high frequencies.

FIG. 1A is a schematic showing an exemplary embodiment of a 16QAM constellation chart, according to prior art. As depicted in this prior-art example, a modulation scheme 101 includes 16 allowed modulation states 102, each 20 allowed state determined by an I-branch signal and a Q-branch signal orthogonal to the I-branch signal (for example, the Q-branch phase-modulated at 90 degrees relative to the I-branch). The horizontal axis shows the amplitude modulation of the I-branch signal, and the vertical axis 25 shows the amplitude modulation of the Q-branch signal, each branch being amplitude-modulated at one of certain predetermined amplitude levels of the modulation scheme. In this case, the predetermined amplitude levels are -3, -1, +1, and +3 arbitrary units. The various amplitude levels are 30 equally separated and symmetrical around zero. The central cross-shape represents zero amplitude. Negative amplitude levels are equivalent to a 180-degree phase change. There are 16 states, as expected for 16QAM. A receiver can receive a message element modulated according to this modulation 35 scheme, and can extract the I and Q branches separately by analog or digital signal-processing means. The receiver can then measure the amplitudes of those two branches, compare the measured amplitude values to a predetermined set of amplitude levels, select the closest match to each branch 40 amplitude, and thereby determine the modulation state of the message element. 16QAM encodes 4 bits per message element.

FIG. 1B is a schematic showing an exemplary embodiment of the effect of phase noise on a 16QAM constellation 45 chart, according to prior art. As depicted in this prior-art example, the modulation states 112 of a 16QAM constellation chart 111 can be distorted ("smeared out") by phase noise in a characteristic way as indicated by phase distortion clouds 118. The depicted distortions would be caused by 50 moderate phase noise at moderate frequencies; at high frequencies it is much worse. If the same modulation scheme were attempted at the much higher frequencies planned for future wireless operation, the phase noise would be much larger than depicted, and the phase-noise clouds would 55 substantially overlap, resulting in frequent message faults. Hence the need for strategies to enable communication despite strong phase distortions.

FIG. 2A is a schematic showing an exemplary embodiment of a guard-space reference signal as transmitted, 60 according to some embodiments. As depicted in this non-limiting example, a constellation chart 201 for 16QAM is shown with various modulation states as circles 203 indicating the I and Q branch amplitudes of each state 203. The modulation scheme includes various predetermined amplitude levels (four, in this case: -3, -1, +1, +3 arbitrary units). The maximum branch amplitude (+3 units) is indicated as

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206, and the maximum sum-signal amplitude is indicated as 207. The maximum sum-signal amplitude 207 is the total amplitude when both the I and Q branches have the maximum branch amplitude 206. Also shown is a single dot (with bold arrow) indicating a guard-space reference 202, as transmitted by a transmitter. In this case, the initial I-branch of the guard-space reference 202 is amplitude-modulated according to the maximum sum-signal amplitude level 204 or 207 of the modulation scheme, while the initial Q-branch has zero amplitude.

The maximum sum-signal amplitude 207 is the net amplitude produced when both branches have the maximum branch amplitude 206. Numerically, the maximum sumsignal amplitude 207 equals  $\sqrt{2}$  times the maximum branch amplitude 206. For example, if the maximum branch amplitude 206 is 3 units, then the maximum sum-signal amplitude 204 is 4.24 units (all numerical results are quoted within ±1%). The sum-signal phase of the guard-space reference is initially zero degrees because the Q amplitude is zero, as-transmitted in this case. The same guard-space reference. with the same modulation and format, is usually transmitted in the guard space of every resource element of the resource grid, or at least the resource elements that have message data. Hence, the receiver always knows what to expect in the guard-space references. Also, making the guard-space references all the same can simplify the averaging of multiple guard-space references to improve accuracy.

The as-transmitted guard-space reference 202, in this case, is distinct from the 16QAM states 203, in that (a) none of the 16QAM states has a branch with zero amplitude, (b) none of the 16QAM states has a branch amplitude transmitted with  $\sqrt{2}$  times the maximum branch amplitude, and (c) none of the 16QAM states has a sum-signal phase of zero degrees, whereas the depicted guard-space reference 202 has all of those features, in this example. In other embodiments, the guard-space reference may be transmitted with any initial sum-signal amplitude and sum-signal phase, as long as the receiver knows what they are. For example, the guard-space reference may be configured with the maximum sum-signal amplitude 207 and a sum-signal phase of 45 degrees, thereby providing carrier suppression. Alternatively, the guard-space reference may be configured with the maximum branch amplitude 206 in the Q-branch and zero in the I-branch, thereby having a sum-signal phase of 90 degrees. Many other combinations are possible.

The guard-space reference 202 is configured to reveal the effects of phase noise and amplitude noise, thereby enabling the receiver to measure and negate those effects. The phase noise effect generally rotates the sum-signal phase, and therefore alters the relative amplitudes of the I and Q branches. The amplitude noise effect is generally to alter the sum-signal amplitude, and therefore alters the I and O branch amplitudes proportionally, without changing the phase. The receiver can determine the amplitude noise and phase noise effects explicitly, by measuring either the sumsignal amplitude and phase, or the I and Q branch amplitudes, of the guard-space reference, and comparing to the initial values. The receiver can then apply corresponding mitigation to the associated message element, and then can compare the corrected sum-signal amplitude and phase, or the corrected I and Q branch amplitudes, to predetermined levels of the modulation schema. The receiver can thereby demodulate the message element with the effects of phase noise and amplitude noise largely mitigated.

The guard-space reference 202 may provide features similar to those of the conventional cyclic prefix. For example, orthogonality between subcarriers may be

enforced by programming a whole integer number of wavelengths (or more precisely, an integer number of wave periods corresponding to the subcarrier frequency) in the guard-space reference and the associated message data together. In addition, the guard-space reference may prevent 5 interference between symbols by providing a stable interval of known phase and amplitude between temporally successive symbols. In addition, the guard-space reference may accommodate a range of arrival times from users near and far, when configured sufficiently long in time ("sufficiently 10 long" depending on the size of the network). In addition, the guard-space reference may simplify certain signal-processing operations, such as FFT (fast Fourier transformation), by providing the same fixed signal in the two guard spaces before and after each message element. The guard-space 15 references fore and aft of the message data may thereby provide signal circularity, just as the cyclic prefix did in prior-art messages. To summarize: the guard-space references can provide substantially equivalent features as the prior-art cyclic prefix, while also providing amplitude and 20 phase noise mitigation, according to some embodiments.

FIG. 2B is a schematic showing an exemplary embodiment of a guard-space reference as received, according to some embodiments. As depicted in this non-limiting example, a constellation chart 211, such as that of the 25 previous figure, includes 16QAM modulation states 213. Also shown is a guard-space reference 212 as-received including phase noise that rotates the I and Q branches through an angle 217 relative to the initial phase. In this case, the initial phase is zero degrees. For comparison, the 30 initial transmitted signal is indicated as an "X" 216. There is no amplitude noise in this example.

The as-received I-branch amplitude **214** has been reduced relative to the initial transmitted I-branch amplitude **204** due to the phase rotation, and the Q-branch amplitude **215** has 35 been increased as shown. However, the received sum-signal amplitude **218** is unchanged from the transmitted sum-signal amplitude **204**, since the magnitude is unchanged by a phase rotation, absent amplitude noise.

Operationally, the receiver can measure the amplitudes of 40 the as-received I and Q branches of the guard-space reference, calculate the phase rotation angle (as arctan(Q/I)), and then correct the associated message element's I and Q amplitudes by de-rotating the message values by the negative of the phase rotation angle, thereby removing the effect 45 of phase noise on the message. For example, the receiver can extract the reference I and O branches of the guard-space reference as-received, and can extract the message I and Q branches of the message data as-received. The receiver can then determine a phase rotation angle according to the 50 reference I and Q amplitudes of the guard-space reference, and de-rotate the message data by that phase rotation angle. In this case, the initial transmitted reference Q amplitude was zero, so the reference sum-signal phase of the transmitted guard-space reference is also zero. Hence the phase 55 rotation angle is simply the as-received reference sum-signal phase of the guard-space reference. In other examples below, the guard-space reference is transmitted with a nonzero reference sum-signal phase, in which case the phase rotation angle equals the reference sum-signal phase of the 60 received guard-space reference minus the initial transmitted sum-signal phase.

The receiver can mitigate the phase noise by de-rotating the message I and Q amplitudes of the message data by the phase rotation angle, as determined from the associated 65 guard-space reference. For example, the receiver can calculate a message sum-signal phase of the associated message

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element (as  $\operatorname{arctan}(Q/I)$  of the message element) and a message sum-signal amplitude (as the root-sum-square of the received message I and Q amplitudes). The receiver can then subtract the phase rotation angle from the message sum-signal phase, and can thereby calculate corrected I and Q branch amplitudes of the message element with effects of phase noise removed. For example, the corrected message I amplitude may be determined as R  $\cos(\theta c)$  and Q as R  $\sin(\theta c)$  respectively, where  $\theta c$  is the corrected sum-signal phase (which equals the received message sum-signal phase minus the phase rotation angle), and R is given by  $\sqrt{(I^2+Q^2)}$ , which is the message sum-signal amplitude.

Optionally, the same phase correction, using the same phase rotation angle, may be applied to other message elements which are in other subcarriers at the same symboltime as the guard-space reference (that is, the phase correction can be applied to multiple message elements in a single OFDM symbol, spanning multiple subcarriers). It is not necessary that the various resource elements belong to the same message, since the guard-space references in this example are all identically formatted. In addition, the phase noise correction may also be applied to message elements in other symbol-times which are closely proximate to the guard-space reference.

The guard-space reference can also mitigate amplitude noise. First, the receiver can calculate the reference sumsignal amplitude of the guard-space reference as-received, and then determine an amplitude correction equal to the received sum-signal amplitude minus the transmitted sumsignal amplitude. (The initial amplitude level may be determined from a prior demodulation reference, for example.) The receiver can then correct the message element sumsignal amplitude by subtracting that amplitude correction from the message element sum-signal amplitude. Assuming that the message data has the same noise amplitude as the associated guard-space reference (since they are directly concatenated), the receiver can subtract the amplitude correction from the message sum-signal amplitude, thereby determining a corrected sum-signal amplitude of the message data. The receiver can then demodulate the message element by either (a) comparing the corrected sum-signal amplitude to a set of predetermined sum-signal amplitude levels of the modulation scheme, or (b) calculating corrected I and Q branch amplitudes and comparing the corrected branch amplitudes to a set of predetermined branch amplitude levels of the modulation scheme. By either method, the receiver has demodulated the message element with the additive amplitude noise largely negated.

The receiver is expected to know, from system information messages or unicast downlink messages or otherwise, the configuration or format of the guard-space reference. The "configuration" or "format" of the guard-space reference includes the transmitted reference I and Q branch amplitudes, or alternatively the transmitted reference sumsignal amplitude and sum-signal phase, or both sets of parameters. With either set of parameters (branches or sum-signals), the receiver can then calculate a phase rotation angle and an amplitude correction, apply phase mitigation and amplitude mitigation to the received message as described, and can then demodulate the message element by comparing the corrected branch amplitudes or the corrected sum-signal amplitude and phase to a set of predetermined modulation levels, thereby selecting the closest one of the predetermined levels. In either case, the receiver can mitigate both phase and amplitude noise, according to some embodiments.

FIG. 3 is a schematic showing an exemplary embodiment of a message including guard-space phase-tracking reference signals, according to some embodiments. As depicted in this non-limiting example, the amplitudes of three symbol-times are shown, including three guard spaces and three 5 message data regions. The Q-branch amplitude is shown in bold line in the top row, and the I-branch amplitude 301 is shown in the bottom row. The three successive symbol-times are labeled as symbol—1, 2, and 3. The horizontal axis is time, divided into three symbol-times as shown. Each symbol-time is further divided, by a dashed line, into a guard space interval and a message data interval.

The four predetermined branch amplitudes of the modulation scheme 303 are shown as bars, in this case 1, 2, 3, 4 arbitrary units. (For simplicity, RF oscillations are suppressed and only positive amplitudes are included.) Also indicated on the I-branch row by a "max" arrow is the maximum sum-signal amplitude of the modulation scheme, which equals  $\sqrt{2}$  times the largest branch amplitude. The largest branch amplitude is 4, and the largest sum-signal 20 amplitude is 5.66 arbitrary units, for the case shown (to within 1%). The guard-space reference in the third symboltime is specifically labeled.

All three guard-space references have the same sumsignal amplitude and phase, as-transmitted. Specifically, the 25 guard-space references are transmitted with the maximum sum-signal amplitude in the I-branch and zero in the Q-branch. In contrast, the message data portions are variously modulated to encode the message information. For example, the message I branch is amplitude modulated 30 according to one of the predetermined branch amplitude levels 303 in each message element, and likewise for the Q branch. The specific branch amplitudes of the message data depend on the content of each message element. Thus the guard-space references are all modulated the same way by 35 the transmitter, whereas the message data portions are modulated according to the various amplitude levels of the modulation scheme, according to the content of the message.

The receiver can receive the transmitted signals, which are generally altered by phase noise and amplitude noise. 40 For example, phase noise can rotate the I-branch 301 and the Q-branch 302 to different values without altering the overall sum-signal amplitude, and amplitude noise can alter the overall sum-signal amplitude without changing the phase. The receiver can measure the amplitude noise effect and the 45 phase noise effect on the guard-space reference, and can then correct the associated message data by subtracting those effects. For example, the receiver can receive one of the symbol-times, including the guard-space reference portion and the associated message data portion of the symbol-time. 50 The receiver can measure the reference I and Q amplitudes of the guard-space reference, and calculate a reference sum-signal amplitude as the square root of a sum of the received reference I and Q amplitudes squared (that is, root-sum-square of the reference I and Q amplitudes). The 55 receiver can also calculate the reference sum-signal phase as the arctangent of the received reference Q amplitude divided by the reference I amplitude. In this case the Q branch was transmitted with zero amplitude, hence zero initial phase. The phase rotation angle, in this case, equals the reference 60 sum-signal phase as-received. The receiver can then negate the phase noise in the associated message data, by derotating the message I and Q signals of the message data according to the phase rotation angle. In addition, the receiver can mitigate amplitude noise by measuring the 65 reference sum-signal amplitude, calculating an amplitude correction equal to the received reference sum-signal ampli-

tude minus the predetermined maximum sum-signal amplitude level. The receiver can then mitigate amplitude noise by subtracting the amplitude correction from the received message sum-signal amplitude, and then calculate the corrected I and Q amplitudes accordingly. The receiver can then demodulate the message by comparing the phase-corrected and amplitude-corrected message I and Q amplitudes to the predetermined amplitude levels of the modulation scheme, thereby largely removing the effects of both phase noise and amplitude noise. (Alternatively, as mentioned, the receiver can demodulate the message element by comparing the sum-signal amplitude and phase to appropriate predetermined levels, and thereby avoid the step of converting the corrected sum-signal back to the I-Q space.)

The depicted guard-space references can also provide benefits previously provided by a prior-art cyclic prefix. The transmitter can configure the modulation of the reference I-branch in the same way as the message data, by providing a whole number of wave periods, according to the subcarrier frequency, in the guard-space reference and the message data together. Such a configuration can preserve orthogonality between subcarriers by arranging that the overlap of two adjacent subcarrier signals, integrated over the symboltime, cancels to zero in first order. In addition, the guardspace reference can be made longer in time than the largest range of signal arrival times, thereby avoiding interference between user messages from users at short and long distances. In addition, the receiver can perform signal processing (such as transformations) using the message data together with the two adjacent guard-space references, which are identically configured. For example, the receiver can process each message data interval along with one-half of the preceding guard-space reference and one-half of the following guard-space reference, as indicated by 304 in the figure. Alternatively, a larger range including the whole preceding and following guard-space references can be included, as indicated as 305. By providing the same waveform before and after the message data, the guard-space references can perform the same function as a cyclic prefix. Thus the guard-space references disclosed herein can provide the features previously provided by the cyclic prefix, while also providing mitigation for phase noise and amplitude noise based on signals received directly adjacent to each message element, according to some embodiments.

Although the figure depicts three symbols sequential in time, the three symbols may equally well be simultaneous and present on successive subcarriers of a single OFDM symbol. Alternatively, the three symbol-times of the figure may represent three successive message elements of three different frequency-spanning messages, each transmitted in sequential symbol-times. It is immaterial whether the message is transmitted time-spanning or frequency-spanning, as long as each message element is associated with an adjacent guard-space reference, all with the same format, as shown.

FIG. 4 is a schematic showing an expanded view of an exemplary embodiment of a message including guard-space phase-tracking reference signals, according to some embodiments. As depicted in this non-limiting example, signals of an I-branch 401 are shown along with signals of a Q-branch 402, with time horizontal. The figure includes the guard space interval and the associated message data interval of a first symbol-time, plus the guard space interval of a second symbol-time. Each guard space interval includes signals modulated as a guard-space reference, according to some embodiments.

The transmitted I and Q amplitudes are shown as heavy lines. The first guard space, preceding the message data, is

labeled guard-space reference-1. The second guard space, following the message data, is labeled guard-space reference-2. The two guard-space references are modulated in the same way, with the reference I-branch 401 having the maximum sum-signal amplitude, and the reference 5 Q-branch 402 having zero amplitude, as transmitted. Also shown are four predetermined amplitude levels 403 of the modulation scheme representing, for example, 1, 2, 3, 4 arbitrary units of amplitude. The message I-branch and the message Q-branch are modulated, in the message data 10 portion, according to one of the four predetermined amplitude levels 403, depending on the message content.

Also shown are dotted lines representing the I and Q amplitudes of the two guard-space references as-received at the receiver. The received reference I and Q amplitudes 404, 15 405 are different from the transmitted reference I and Q amplitudes 401, 402 due to phase noise. In the depicted case, the first and second guard space signals are both shown with the same phase rotation angle, indicating that the phase noise has not changed significantly during the message portion. 20 For each of the guard-space references, the received reference I-branch amplitude 404 (altered by phase noise) is lower than the maximum sum-signal amplitude, due to phase rotation. Likewise, the received Q-branch amplitude 405 is higher than zero, due to phase rotation mixing the I 25 and Q branches in each of the guard-space references.

The message data was also altered by the same phase noise as the two guard-space references, specifically by undergoing a phase rotation or mixing the received message I and Q branch amplitudes. The phase noise distorts the 30 amplitudes of the message data as shown, very schematically, by dotted lines 406. The depicted amount of phase noise would cause the message data to be incorrectly demodulated, resulting in many phase faults. However, the receiver can use the guard-space references to negate the 35 phase noise effects and correctly demodulate the message. For example, the receiver can determine the phase rotation angle according to a ratio of the received reference Q amplitude 405 divided by the received reference I amplitude 404 in the preceding guard-space reference, and can there- 40 fore de-rotate the received message I and Q amplitudes to remove the phase noise effects. The receiver can also calculate the received reference sum-signal amplitude based on the received reference I and Q amplitudes 404, 405 in the preceding guard-space reference, calculate an amplitude 45 correction value equal to the received reference sum-signal amplitude minus the predetermined maximum sum-signal amplitude of the modulation scheme, and thereby measure the amount of amplitude noise. The receiver can then correct the received message sum-signal amplitude to negate that 50 amplitude noise by subtracting the amplitude correction from the received message sum-signal amplitude, and then calculate the corrected message I and Q amplitudes according to the de-rotated sum-signal phase. The receiver can thereby produce corrected and de-rotated message I and Q 55 branch amplitudes for demodulation, with the amplitude noise and phase noise largely negated, according to some embodiments.

As an option, the receiver can average the received reference I amplitudes in the preceding and following guard-space references, and can likewise average the preceding and following reference Q amplitudes. The receiver may thereby determine a more precise value of the phase rotation angle and the amplitude correction value. The receiver can then de-rotate and amplitude-correct the message branch 65 amplitudes using those average values. In addition, the receiver can determine how rapidly the amplitude noise

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and/or the phase noise varies between the two guard-space references, by comparing the I and Q branch amplitudes of the two guard-space references, or alternatively compare the two sum-signal amplitudes and sum-signal phases of the two guard-space references.

As a further option, the receiver can calculate a blockaveraged value of the phase rotation angle, by measuring the guard-space references in multiple subcarriers at the same symbol-time and averaging those values. Since most sources of phase noise, such as receiver clock jitter, tend to rotate all of the subcarrier signals by the same amount, the receiver may substantially improve the precision of the phase rotation angle by averaging multiple guard-space references in multiple subcarriers of the same OFDM symbol. Likewise, the receiver may obtain a more precise amplitude correction value by averaging the received reference sum-signal amplitudes from multiple subcarriers in the same OFDM symbol, as long as the amplitude noise does not vary strongly with frequency. To check that assumption, the receiver can determine whether the amplitude noise varies with frequency by comparing the first and last values in the block of guardspace references, or by calculating a statistical metric (such as the standard deviation) of the sum-signal amplitudes of the guard-space references, or other test. If such a test shows that the amplitude noise varies with frequency across the multiple subcarriers, then the receiver can abandon the averaging and use the local values derived from each guard-space reference for amplitude correction. The phase noise, on the other hand, is usually sufficiently frequencyindependent that such averaging generally provides an improved phase noise mitigation.

FIG. 5 is a flowchart showing an exemplary embodiment of a procedure for mitigating phase noise in a message, according to some embodiments. The steps are not necessarily depicted in chronological order. As depicted in this non-limiting example, at 501 a transmitter transmits a message of message elements modulated as I and orthogonal Q signals. Each message element is associated with a guard space, which in this case includes a guard-space reference configured to negate phase noise and amplitude noise. Unlike the previous example, the guard-space references of this example have a maximum amplitude in the Q-branch and zero amplitude in the I-branch. Hence, the initial phase (the reference sum-signal phase as-transmitted) is 90 degrees instead of zero.

At **502**, a receiver receives the message and measures the I and Q amplitudes for the message elements and the guard-space references. At **503**, the receiver processes the guard-space reference by calculating the reference sumsignal phase (as arctan(Q/I) of the guard-space reference) and the reference sum-signal amplitude (as root-sum-square of reference I and Q amplitudes). The receiver then calculates a phase rotation angle equal to the reference sum-signal phase minus an initial phase (which in this case is 90 degrees), and an amplitude correction equal to the reference sum-signal amplitude minus the initial amplitude.

At 504, the receiver processes each message data by calculating a message sum-signal amplitude and a message sum-signal phase according to the same formulas as in 503. At 505, the receiver mitigates the noise by subtracting the phase rotation angle from the message sum-signal phase, and subtracting the amplitude correction from the message sum-signal amplitude.

At that point, there are two paths to complete the demodulation and identify the content of the message element. At 506, the receiver compares the corrected message sumsignal amplitude and the corrected message sum-signal

phase to a set of predetermined sum-signal amplitudes and phases of the modulation scheme, and selects the closest match as representing the message element's content. Alternatively, at 507, the receiver calculates a mitigated I-branch amplitude as the corrected message sum-signal amplitude 5 times the cosine of the corrected message sum-signal phase, and calculates a mitigated Q-branch amplitude as a sine of the corrected message sum-signal phase. The receiver can then compare the mitigated I and Q amplitudes to a predetermined set of branch amplitude levels, selecting the closest 10 match. The message is thereby demodulated with amplitude noise and phase noise negated.

As an option, at 508 (if not sooner) the receiver can average the reference sum-signal amplitudes and phases of the two guard-space references occurring before and after 15 the message data, to determine a more accurate value of the phase rotation angle and the amplitude correction. Alternatively, the receiver can average the reference I and Q branch amplitudes of those two guard-space references and then calculate the phase rotation angle therefrom. As a further 20 alternative, the receiver can calculate two values of the phase rotation angle, and likewise two values of the amplitude correction, based on the two guard-space references, and then average those values. In each case, the receiver may obtain a more accurate determination of the phase noise 25 effect and the amplitude noise effect.

As a further alternative, at 509 (if not sooner) the receiver may average the reference I and Q amplitudes (or the reference sum-signal amplitudes and phases, or the calculated phase rotation angles and amplitude corrections) of a 30 large number of simultaneous guard-space references, and obtain a more precise value of the phase rotation angle and the amplitude correction from those averages.

FIG. 6 is a sequence chart showing an exemplary embodiment of a procedure for transmitting and receiving a mes- 35 sage with phase-noise mitigation, according to some embodiments. As depicted in this non-limiting example, actions of a transmitter are shown on the first line, actions of a receiver on the second line, and time is horizontal with a modulation scheme that includes certain predetermined amplitude levels, used for quadrature amplitude modulation of orthogonal branch signals. At 602, the transmitter inserts, in guard spaces between intervals of modulated message data, guard-space references in which the I-branch has the 45 maximum sum-signal amplitude level of the modulation scheme, and the O-branch has zero amplitude. At 603, the transmitter then transmits the message, including message data alternated or interleaved with identical guard-space references.

At 604, the receiver receives the message including the modulated message data intervals and the guard-space references. At 605, for each message element, the receiver analyzes the guard-space reference associated with that reference sum-signal amplitude and a reference sum-signal phase of the guard-space reference according to the asreceived sum-signal. Alternatively, the receiver can separate orthogonal branches of the signal and measure the reference I-branch amplitude and the reference Q-branch amplitude of 60 the guard-space reference. If the guard-space reference had a Q-branch amplitude of zero as transmitted, then the initial sum-signal phase is also zero, in which case the phase rotation angle equals the received reference sum-signal phase. If the transmitted reference sum-signal phase is some 65 other value, such as 45 degrees or 90 degrees, the receiver can determine the phase rotation angle by subtracting the

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transmitted (initial) reference sum-signal phase from the received reference sum-signal phase. The receiver can then de-rotate the message elements by that phase rotation angle to mitigate the phase noise. The receiver is expected to know the format of the transmitted guard-space reference, including the initial reference sum-signal phase and amplitude.

At 606, the receiver can mitigate phase noise effects in each message element by de-rotating the message I-branch amplitude and the message Q-branch amplitude according to the phase rotation angle of the associated guard-space reference. Alternatively, the receiver can determine a message sum-signal amplitude and phase from the sum-signal, and subtract the phase rotation angle from the message sumsignal phase. In either case the receiver can thereby determine a corrected message I-branch amplitude and a corrected Q-branch amplitude (or a corrected message sumsignal phase) with the phase noise largely negated. The manner of receiving the signals, performing signal processing, measuring amplitudes and phases, and applying the noise mitigation, using either sum-signals or I-O branches. may be dependent on the particular implementation.

At 607, the receiver can mitigate amplitude noise effects in each message element by calculating an amplitude correction value equal to the received reference sum-signal amplitude minus the initial sum-signal amplitude. For example, the receiver may have previously determined the predetermined amplitude levels of the modulation scheme, and has already calculated the maximum sum-signal amplitude level. The receiver can then mitigate amplitude noise by subtracting the amplitude correction value from the received message sum-signal amplitude. The receiver can then compare the corrected sum-signal amplitude to a set of predetermined sum-signal amplitude levels of the modulation scheme, or it can recalculate the I-branch amplitude and Q-branch amplitude trigonometrically using the corrected sum-signal amplitude. By either calculation, the phasecorrected and amplitude-corrected levels are ready for demodulation.

At 608, the receiver can then demodulate the message arrows showing causation. At 601, a transmitter determines 40 element by comparing the corrected message I and Q amplitudes to the predetermined amplitude levels, and can thereby determine the message content with phase noise and amplitude noise mitigated. At 609, the receiver transmits an acknowledgement which the transmitter receives at 610.

> Thus the message has been transmitted including a guardspace reference associated concatenated with each message element, and has been received at the receiver with the message data and the guard-space references distorted by amplitude noise and phase noise. The receiver has used the received amplitudes in the guard-space reference to measure the phase noise and amplitude noise, and has negated the effects of the noise in the message I and Q branch amplitudes, before demodulating the message data.

FIG. 7 is a schematic showing an exemplary embodiment message element. For example, the receiver can determine a 55 of a resource grid including guard-space references, according to some embodiments. As depicted in this non-limiting example, a small portion of a resource grid 700 shows two subcarriers (subcarrier-1 and subcarrier-2) at four successive symbol-times. Subcarrier-1 includes a Q-branch signal 701 and an I-branch signal 702, while subcarrier-2 includes another Q-branch and I-branch signals 711, 712. These are shown as transmitted by a transmitter, without noise. Guard spaces, shown stippled, share each symbol-time with message data as shown. Four dashed lines represent four predetermined branch amplitude levels of the modulation scheme (positive branch amplitudes only, for simplicity). On the right, the I and Q branches are indicated in the first

subcarrier, while the four predetermined branch amplitude levels are indicated in the second subcarrier. Arrows also indicate zero amplitude and the maximum sum-signal amplitude. The maximum sum-signal amplitude equals  $\sqrt{2}$  times the maximum branch amplitude, and therefore is higher than 5 any of the predetermined branch amplitudes. Nevertheless, the transmitter can readily transmit the guard-space reference, because the power required for the maximum sumsignal amplitude is exactly the same as the power required for a message element in which both I and Q branches have 10 the maximum branch amplitudes.

Each guard space includes a guard-space reference 703, which in this case includes a Q-branch amplitude equal to the maximum sum-signal amplitude, and an I-branch amplitude of zero; hence the initial sum-signal phase is 90 15 degrees. Each message data portion 704 includes transmission in the I and Q branches at one of the four predetermined branch amplitude levels. The branch amplitudes are selected, for each message element, according to the content of the message. Thus the message data portion 704 of each 20 branch of each message element is shown with a different amplitude, selected from the set of predetermined branch amplitudes, to encode the message data. In contrast, all of the guard-space references 703 have the same format, which here is the maximum sum-signal amplitude in the reference 25 Q-branch, and zero in the reference I-branch, as-transmitted.

The receiver can receive a message which is frequencyspanning (occupying successive subcarriers at a single symbol-time) or time-spanning (successive symbol-times at a particular subcarrier), as well as more complex shapes in the 30 resource grid. In each case, the receiver can measure the reference I and Q amplitudes in the received guard-space reference 703, and the received message I and Q amplitudes in the message data 704 portion, of each resource element. However, the received amplitudes are likely distorted by 35 amplitude noise and phase noise. The receiver can calculate a reference sum-signal amplitude based on a combination of the received reference I and Q branch amplitudes, and can also calculate the reference sum-signal phase according to a ratio of the received reference I and Q branch amplitudes. 40 The receiver can likewise calculate the message sum-signal amplitude and phase from the received message I and Q branch amplitudes.

Then the receiver can determine the additive amplitude noise effect by subtracting the initial (as-transmitted) reference sum-signal amplitude from the received reference sum-signal amplitude. The receiver can then subtract the amplitude noise effect from the message sum-signal amplitude, thereby obtaining a corrected message sum-signal amplitude with the amplitude noise negated. The receiver 50 can also determine a phase rotation angle equal to the reference sum-signal phase of the received guard-space reference, minus the initial transmitted guard-signal phase (which is 90 degrees here, but may have other values). The receiver can then subtract the phase rotation angle from the 55 message sum-signal phase, thereby obtaining a message sum-signal phase with the phase noise negated.

Having removed both phase and amplitude noise, the receiver can then demodulate the message. In a first embodiment, the receiver can demodulate each message element by 60 comparing the corrected sum-signal amplitude to a predetermined set of amplitude levels, and the corrected sum-signal phase to a predetermined set of phase levels of the modulation scheme. Alternatively, in a second embodiment, the receiver can calculate the corrected message I and Q 65 amplitudes from the corrected sum-signal phase and the corrected sum-signal amplitude, and can then compare the

corrected message I and Q branch amplitudes to a set of predetermined branch amplitude levels of the modulation scheme. In either case, the receiver has used the information in the guard-space reference to negate phase noise and amplitude noise in the associated message data.

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Due to the many options and variations disclosed herein, and other versions derived therefrom by artisans after reading this disclosure, it would be helpful for a wireless standards committee to establish conventions governing the use, incidence, and formats of guard-space references, so that future wireless users can enjoy phase noise mitigation and amplitude noise mitigation, transparently, with each communication.

The wireless embodiments of this disclosure may be aptly suited for cloud backup protection, according to some embodiments. Furthermore, the cloud backup can be provided cyber-security, such as blockchain, to lock or protect data, thereby preventing malevolent actors from making changes. The cyber-security may thereby avoid changes that, in some applications, could result in hazards including lethal hazards, such as in applications related to traffic safety, electric grid management, law enforcement, or national security.

In some embodiments, non-transitory computer-readable media may include instructions that, when executed by a computing environment, cause a method to be performed, the method according to the principles disclosed herein. In some embodiments, the instructions (such as software or firmware) may be upgradable or updatable, to provide additional capabilities and/or to fix errors and/or to remove security vulnerabilities, among many other reasons for updating software. In some embodiments, the updates may be provided monthly, quarterly, annually, every 2 or 3 or 4 years, or upon other interval, or at the convenience of the owner, for example. In some embodiments, the updates (especially updates providing added capabilities) may be provided on a fee basis. The intent of the updates may be to cause the updated software to perform better than previously, and to thereby provide additional user satisfaction.

The systems and methods may be fully implemented in any number of computing devices. Typically, instructions are laid out on computer readable media, generally nontransitory, and these instructions are sufficient to allow a processor in the computing device to implement the method of the invention. The computer readable medium may be a hard drive or solid state storage having instructions that, when run, or sooner, are loaded into random access memory. Inputs to the application, e.g., from the plurality of users or from any one user, may be by any number of appropriate computer input devices. For example, users may employ vehicular controls, as well as a keyboard, mouse, touchscreen, joystick, trackpad, other pointing device, or any other such computer input device to input data relevant to the calculations. Data may also be input by way of one or more sensors on the robot, an inserted memory chip, hard drive, flash drives, flash memory, optical media, magnetic media, or any other type of file-storing medium. The outputs may be delivered to a user by way of signals transmitted to robot steering and throttle controls, a video graphics card or integrated graphics chipset coupled to a display that maybe seen by a user. Given this teaching, any number of other tangible outputs will also be understood to be contemplated by the invention. For example, outputs may be stored on a memory chip, hard drive, flash drives, flash memory, optical media, magnetic media, or any other type of output. It should also be noted that the invention may be implemented on any number of different types of computing devices, e.g.,

embedded systems and processors, personal computers, laptop computers, notebook computers, net book computers, handheld computers, personal digital assistants, mobile phones, smart phones, tablet computers, and also on devices specifically designed for these purpose. In one implemen- 5 tation, a user of a smart phone or Wi-Fi-connected device downloads a copy of the application to their device from a server using a wireless Internet connection. An appropriate authentication procedure and secure transaction process may provide for payment to be made to the seller. The application may download over the mobile connection, or over the Wi-Fi or other wireless network connection. The application may then be run by the user. Such a networked system may provide a suitable computing environment for an implementation in which a plurality of users provide separate inputs to 15 the system and method.

It is to be understood that the foregoing description is not a definition of the invention but is a description of one or more preferred exemplary embodiments of the invention. The invention is not limited to the particular embodiments(s) 20 disclosed herein, but rather is defined solely by the claims below. Furthermore, the statements contained in the foregoing description relate to particular embodiments and are not to be construed as limitations on the scope of the invention or on the definition of terms used in the claims, except where 25 a term or phrase is expressly defined above. Various other embodiments and various changes and modifications to the disclosed embodiment(s) will become apparent to those skilled in the art. For example, the specific combination and order of steps is just one possibility, as the present method 30 may include a combination of steps that has fewer, greater, or different steps than that shown here. All such other embodiments, changes, and modifications are intended to come within the scope of the appended claims.

As used in this specification and claims, the terms "for 35 example", "e.g.", "for instance", "such as", and "like" and the terms "comprising", "having", "including", and their other verb forms, when used in conjunction with a listing of one or more components or other items, are each to be construed as open-ended, meaning that the listing is not to 40 be considered as excluding other additional components or items. Other terms are to be construed using their broadest reasonable meaning unless they are used in a context that requires a different interpretation.

The invention claimed is:

- 1. A method for a wireless receiver to mitigate noise, the method comprising:
  - a) receiving a message comprising resource elements of a resource grid, each resource element comprising a 50 guard space concatenated with message data, the message data modulated according to bits of the message, and the guard space comprising a guard-space reference configured to reveal amplitude noise or phase noise or both;
  - b) measuring a reference modulation value of the guardspace reference in a particular resource element of the message, and comparing the reference modulation value to an initial modulation value, thereby determining a correction value;
  - c) measuring a message modulation value of a message data in the particular resource element, and subtracting the correction value from the message modulation value, thereby determining a corrected modulation value; and
  - d) demodulating the message data of the particular resource element by in part comparing the corrected

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- modulation value to a predetermined set of modulation levels of a modulation scheme.
- 2. The method of claim 1, wherein the message is configured according to 5G or 6G technology.
- 3. The method of claim 1, further comprising:
- a) measuring a reference I-branch amplitude and an orthogonal reference Q-branch amplitude of the guardspace reference of the particular resource element;
- b) calculating, according to an arctangent of a ratio of the reference Q-branch amplitude divided by the reference I-branch amplitude, a reference phase;
- c) calculating, according to a square root of a sum of the reference I-branch amplitude squared plus the reference Q-branch amplitude squared, a reference amplitude;
- d) subtracting an initial phase from the reference phase, thereby determining a phase correction; and
- e) subtracting an initial amplitude from the reference amplitude, thereby determining an amplitude correction
- 4. The method of claim 3, further comprising;
- a) measuring a message I-branch amplitude and an orthogonal message Q-branch amplitude of the message data of the particular resource element;
- b) calculating, according to an arctangent of a ratio of the message Q-branch amplitude divided by the message I-branch amplitude, a message phase;
- c) calculating, according to a square root of a sum of the message I-branch amplitude squared plus the message Q-branch amplitude squared, a message amplitude;
- d) subtracting the phase correction from the message phase, thereby determining a corrected message phase; and
- e) subtracting the amplitude correction from the message amplitude, thereby determining a corrected message amplitude.
- 5. The method of claim 4, further comprising:
- a) selecting, from a plurality of predetermined phase levels of the modulation scheme, a particular phase level closest to the corrected message phase; and
- b) selecting, from a plurality of predetermined amplitude levels of the modulation scheme, a particular amplitude level closest to the corrected message amplitude.
- 6. The method of claim 4, further comprising:
- a) calculating a corrected I-branch amplitude according to the corrected message amplitude times a cosine of the corrected message phase;
- b) calculating a corrected Q-branch amplitude according to the corrected message amplitude times a sine of the corrected message phase;
- c) selecting, from a plurality of predetermined amplitude levels of the modulation scheme, a particular amplitude level closest to the corrected I-branch amplitude; and
- d) selecting, from the plurality of predetermined amplitude levels of the modulation scheme, a particular amplitude level closest to the corrected Q-branch amplitude.
- 7. The method of claim 1, further comprising:
- a) determining a reference sum-signal amplitude and a reference sum-signal phase of the guard-space reference of the particular resource element;
- subtracting an initial phase from the reference sumsignal phase, thereby determining a phase correction; and
- subtracting an initial amplitude from the reference sum-signal amplitude, thereby determining an amplitude correction.

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- **8**. The method of claim **7**, further comprising:
- a) determining a message sum-signal amplitude and a message sum-signal phase of the message data of the particular resource element;
- b) subtracting the phase correction from the message 5 sum-signal phase, thereby determining a corrected sum-signal phase; and
- c) subtracting the amplitude correction from the message sum-signal amplitude, thereby determining a corrected sum-signal amplitude.
- 9. The method of claim 8, further comprising:
- a) selecting, from a plurality of predetermined phase levels of the modulation scheme, a particular phase level closest to the corrected sum-signal phase; and
- b) selecting, from a plurality of predetermined amplitude 15 levels of the modulation scheme, a particular amplitude level closest to the corrected sum-signal amplitude.
- 10. The method of claim 1, further comprising:
- a) receiving a following resource element that follows the particular resource element in time or in frequency, the 20 following resource element comprising a following guard-space reference and a following message data;
- b) measuring a following reference modulation value of the following guard-space reference;
- c) averaging the reference modulation value and the 25 following reference modulation value, thereby determining an average reference modulation value;
- d) subtracting an initial modulation value from the average reference modulation value, thereby determining an average noise correction;
- e) subtracting the average noise correction from the message modulation value, thereby determining a corrected modulation value; and
- f) selecting, from the predetermined set of modulation levels of the modulation scheme, the modulation level 35 closest to the corrected modulation value.
- 11. The method of claim 1, further comprising:
- a) receiving an OFDM (orthogonal frequency-division multiplexing) symbol comprising a plurality of OFDM message elements, each OFDM message element asso- 40 ciated with a respective OFDM guard-space reference, wherein the OFDM message elements occupy sequential subcarriers at a particular symbol-time;
- b) measuring, for each OFDM guard-space reference of OFDM reference I-branch amplitude and an OFDM reference O-branch amplitude; and
- c) calculating an average reference I-branch amplitude by averaging the OFDM reference I-branch amplitudes, and calculating an average reference Q-branch ampli- 50 tude by averaging the OFDM reference Q-branch amplitudes.
- 12. The method of claim 1, wherein:
- a) each message data and the associated guard-space reference are received at a particular frequency; and 55
- b) the message data and the associated guard-space reference, together, comprise an integer number of wave periods at the particular frequency.
- 13. The method of claim 1, further comprising:
- a) before receiving the message, determining a guard- 60 space reference format comprising either:
  - i) a particular transmitted I-branch amplitude value and a particular transmitted Q-branch amplitude value;
  - ii) a particular transmitted sum-signal amplitude value 65 and a particular transmitted sum-signal phase value; iii) or all of the above.

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- 14. The method of claim 13, wherein:
- a) the guard-space reference format comprises an I-branch signal amplitude-modulated according to a maximum sum-signal amplitude of the modulation scheme, multiplexed with an orthogonal Q-branch signal having zero amplitude:
- b) wherein the maximum sum-signal amplitude of the modulation scheme comprises either a largest level of the predetermined set of modulation levels of a modulation scheme, or the largest level of the predetermined set of modulation levels of the modulation scheme times  $\sqrt{2}$ .
- 15. A wireless transmitter configured to:
- a) transmit a wireless message comprising message elements and guard spaces, each message element associated with one guard space, respectively;
- b) wherein each guard space occupies a first portion of a symbol-time, and the associated message element occupies a second portion of the symbol-time;
- c) wherein each message element comprises a message I-branch and an orthogonal message Q-branch, the message I-branch being amplitude modulated according to a first predetermined amplitude level of a modulation scheme, and the message Q-branch being amplitude modulated according to a second predetermined amplitude level of the modulation scheme; and
- d) wherein each guard space comprises a guard-space reference comprising a reference I-branch signal multiplexed with an orthogonal reference Q-branch signal, wherein one branch, of the reference I-branch and the reference Q-branch, is transmitted according to a maximum amplitude level of the modulation scheme, and the other branch is transmitted with zero amplitude.
- 16. The wireless transmitter of claim 15, wherein:
- a) each message element is transmitted in a particular subcarrier having a particular frequency and a particular period, wherein the period is equal to an inverse of the particular frequency; and
- b) the message element and the associated guard-space reference, together, comprise an integer times the particular period.
- 17. Non-transitory computer-readable media in a wireless receiver, the media containing instructions that when implethe plurality of OFDM guard-space references, an 45 mented in a computing environment cause a method to be performed, the method comprising:
  - a) receiving a message comprising message elements, each message element concatenated with an associated guard-space reference;
  - b) for each guard-space reference, determining a reference first signal comprising a reference first amplitude, multiplexed with an orthogonal reference second signal comprising a reference second amplitude;
  - c) for each guard-space reference, determining a phase rotation angle comprising an arctangent of a ratio of the reference second amplitude divided by the reference first amplitude, minus a predetermined initial phase;
  - d) correcting each message element according to the phase rotation angle of the associated guard-space reference.
  - 18. The media of claim 17, the method further compris-
  - a) for each message element, determining a first signal of the message element multiplexed with an orthogonal second signal of the message element, wherein the first signal is amplitude-modulated according to a first

- amplitude, and the message second signal is amplitudemodulated according to a second amplitude;
- b) for each message element, determining a message sum-signal amplitude comprising a square root of a sum of the first amplitude squared plus the second 5 amplitude squared;
- c) for each message element, determining a message sum-signal phase comprising an arctangent of a ratio of the second amplitude divided by the first amplitude;
- d) for each message element, subtracting the phase rotation angle of the associated guard-space reference from the message sum-signal phase, thereby determining a corrected sum-signal phase.
- 19. The media of claim 18, the method further comprising:
  - a) for each guard-space reference, determining a reference sum-signal amplitude comprising a square root of a

- sum of the reference first amplitude squared plus the reference second amplitude squared;
- b) for each guard-space reference, determining an amplitude correction by subtracting an initial amplitude from the reference sum-signal amplitude; and
- c) for each message element, subtracting the amplitude correction from the message sum-signal amplitude, thereby determining a corrected sum-signal amplitude.
- 20. The media of claim 19, the method further comprising:
  - a) for each message element, calculating a corrected first amplitude comprising the corrected sum-signal amplitude times a cosine of the corrected sum-signal phase; and
- b) for each message element, calculating a corrected second amplitude comprising the corrected sum-signal amplitude times a sine of the corrected sum-signal phase.

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